

- ☐ e dependent claim(s)
37 CFR 1.16(d) - 260.00
small entity - 130.00
- ☒ Surcharge fees
- ☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00)
- AND**
- ☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$130.00
(small entity - 65.00)
- ☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00)
- ☐ Recording assignment
(37 CFR 1.21(h) (i) 40.00)
- ☐ Attached is an assignment to

Total fees: \$870.00

SMALL ENTITY STATUS

- ☐ A verified statement that this filing is by a small entity is
- ☐ Attached ☐ Already filed on _____
W/cover sheet

PAYMENT OF FEES


- ☒ Enclosed is a check in the amount of \$870.00
- ☐ Charge Account No. 12-0415 in the amount of _____
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

- ☒ The Commissioner is hereby authorized to charge the following additional fees which may be required or credit any overpayment to Account No. 12-0415.
- ☒ 37 CFR 1.16 (filing fees and presentation of extra claims)
- ☒ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting loss of entitlement to small entity status must be filed in application... prior to paying... issue fee."


Richard P. Berg
Attorney for the Applicant
Registration No.: 28,145

c/o LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/915,194	07/25/2001	James Andrew Davis	B-4257 618971-3

CONFIRMATION NO. 5298

FORMALITIES LETTER



OC00000006534579

LADAS & PARRY
Suite 2100
5670 Wilshire Boulevard
Los Angeles, CA 90036-5679

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/15/2002 SDIRETA1 00000005 09915194

01 FC:101 740.00 DP
02 FC:105 130.00 DP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE